

# 1 **Dynamic Rupture Along Bimaterial Interfaces in 3D**

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5 **Abstract.** We perform numerical simulations of dynamic rupture propagation on a  
6 plane in a model consisting of two different elastic half spaces connected via a planar  
7 frictional interface governed by regularized Coulomb friction. Therefore, ruptures in this  
8 study are purely driven by the presence of a material contrast. Ruptures are nucleated  
9 on the fault using a circular symmetric expanding increase of pore-pressure in a limited  
10 source region. We show how a wrinkle-like rupture pulse can mature also in the 3D  
11 case where we have a mixing of in-plane and anti-plane modes, the instability specific  
12 of a bimaterial interface acting only for the in-plane mode. The pulse develops inside a  
13 cone-shaped region around the in-plane direction of slip in the softer material.

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## 1. Introduction

17 Geological faults with a long slip history are likely to bring into contact materials with  
18 different elastic properties. Recent geologic mapping and laboratory experiments both  
19 suggest that the principal zones of slip are localized along interfaces that separate con-  
20 siderably different rocks [Dor *et al.*, 2006a, b]. Contrasts of elastic properties across large  
21 faults have been imaged by seismic reflection and refraction studies [Fuis *et al.*, 2001, 2003;  
22 Lutter *et al.*, 2004], body and coda wave tomography [Eberhart-Phillips and Michael, 1998;  
23 *Magistrale and Sanders*, 1995; Shapiro *et al.*, 2005], modeling of geodetic data [Le Pichon  
24 *et al.*, 2005] and analysis of head waves that refract along material interfaces in the fault  
25 zone structure [McGuire and Ben-Zion, 2005, and references therein]. The range of the  
26 velocity contrast across the San Andreas and other large faults is estimated to be about  
27 up to 30%, with values of 5-20% often reported.

28 A fault surrounded by identical materials on both sides cannot become unstable when  
29 the governing friction law has a single, constant coefficient of friction. However, an inter-  
30 face separating materials of different elastic properties can become unstable even under  
31 this condition [Weertman, 1980]. How much earthquake ruptures are influenced by such  
32 material contrasts has been under debate recently [Andrews and Harris, 2005; Harris and  
33 Day, 2005; Ben-Zion, 2006a, b]. The model of rupture propagation along a bimaterial  
34 interface with a single, constant friction coefficient evidently excludes the weakening be-  
35 havior of friction during sliding and is unrealistic in this respect. Nevertheless, it is also  
36 believed that simple weakening models of friction and their parameters do not have a

37 clean physical basis and additional physical knowledge has to be developed to come to  
38 physically consistent models [*Rice and Cocco*, 2006].

39 Destabilization of slip on a bimaterial interface is only present in the 2D in-plane case  
40 and it is not present in the 2D anti-plane case. It has been mentioned by *Ben-Zion*  
41 *and Andrews* [1998] that the results of bimaterial driven 2D in-plane rupture simulations  
42 might be modified considerably in cases of 3D rupture propagation. *Harris and Day* [2005]  
43 show results of dynamic rupture calculations in 3D with slip-weakening and Kelvin-Voigt  
44 viscosity in the bulk. However, (1) it is not yet clear that the Kelvin-Voigt viscosity does  
45 regularize ill-posedness, and (2) we wish to isolate the bimaterial instability from that  
46 coming from the intrinsic frictional weakening. Therefore the problem of a rupture along  
47 a bimaterial interface in 3D still needs examination.

## 2. Ill-posedness, and numerical convergence

### 2.1. Ill-posedness and Regularization

48 A frictional interface governed by Coulomb friction in a homogeneous medium with a  
49 uniform initial stress along the fault less than the frictional strength never becomes un-  
50 stable no matter how forcefully an event is initiated in the nucleation zone. As mentioned  
51 above, in order to study unstable slip on a bimaterial interface independently from other  
52 sources of instability (e.g., slip- or rate-dependent friction) one would therefore ideally  
53 wish to use Coulomb friction. However, sliding along a planar bimaterial interface under  
54 Coulomb friction is often not well-posed [*Adams*, 1995; *Ranjith and Rice*, 2001] in the  
55 sense that such problems do not possess any solution, even if not-too-refined numerical  
56 simulations might appear to be stable.

57 It has been shown by *Ranjith and Rice* [2001] that there is a connection between the  
 58 ill-posedness of the problem and the existence of the generalized Rayleigh wave. A sum-  
 59 marizing table is given in *Cochard and Rice* [2000]. The source of ill-posedness has been  
 60 studied by *Adams* [1995] and is referred to as the Adams instability [*Cochard and Rice*,  
 61 2000].

In this study we use an experimentally based constitutive law derived by *Prakash and Clifton* [1993] and *Prakash* [1998] in its simplified form [*Cochard and Rice*, 2000] with its characteristic differential equation for the shear strength  $\tau_1^s$  given by:

$$\dot{\tau}_1^s = -\frac{|V| + V^*}{L} [\tau_1^s - f \max(0, -\tau_2)] \quad (1)$$

62 with slip velocity  $V$ , characteristic slip velocity  $V^*$ , characteristic length  $L$ , and friction  
 63 coefficient  $f$  letting the shear stress  $\tau_1$  to respond gradually rather than instantaneously  
 64 to an abrupt change of normal stress  $\tau_2$ . It has been shown by *Ranjith and Rice* [2001]  
 65 to regularize the previously discussed ill-posedness. Classical slip-weakening or rate- and  
 66 state-dependent constitutive laws with strength proportional to local normal stress do not  
 67 provide a regularization [*Cochard and Rice*, 2000].

## 2.2. Numerical convergence

68 Even in the well-posed regime it is numerically challenging to resolve a wrinkle-like  
 69 rupture pulse travelling along a bimaterial interface, because of the above mentioned  
 70 intrinsic instability of such an interface [*Cochard and Rice*, 2000; *Ben-Zion and Huang*,  
 71 2002]. To test the numerical results for their physical plausibility we strive for converging  
 72 results of grid-refined simulations. In Figure 1 we show converging results achieved with  
 73 the finite-difference method used in this study (details in section 3) for three levels of

74 grid refinement ( $\Delta x = 0.5, 0.25, 0.125$  m). Because of the huge computational expense  
75 we cannot accomplish further refinements or larger propagation distances at the same  
76 time. The top panel shows slip velocity as a function of time at three different points  
77 ( $x_{\text{pd}} = 100\text{m}, 150\text{m}, 200\text{m}$ ) along the in-plane direction on the fault. At about 0.03 s we  
78 see a slip pulse that corresponds to what is labeled ‘supershear’ in figure 2 – it has already  
79 totally disappeared at 150 m away from the nucleation. Thus, as in 2D, the main feature  
80 is the so called Weertman pulse [e.g., *Andrews and Ben-Zion, 1997*], appearing in all three  
81 stations. For the least refined grid (green curve) we can see numerical noise, especially for  
82 the station at 200 m propagation distance. The corresponding features can also be seen  
83 in the bottom panel which shows normal stress. We can see that suitable convergence is  
84 achieved for  $\Delta x = 0.25$  m.

### 3. Numerical Model and Parameter Setup

#### 3.1. Physical Model and Numerical Implementation

85 The model consists of two elastic halfspaces of different elastic properties which are cou-  
86 pled by a frictional interface. We use a standard 4th-order staggered-grid finite-difference  
87 technique for solving the elastic wave equations inside the elastic medium which has been  
88 described by various authors [e.g., *Igel et al., 1995; Graves, 1996*]. Frictional sliding is  
89 numerically included via the stress-glut method which has been introduced by *Andrews*  
90 [1999]. To keep the simulations clean from artificial reflections caused by the finiteness of  
91 the numerical implementation of the model we use perfectly matched layers [*Marcinkovich*  
92 *and Olsen, 2003*] of appropriate width on all sides of the modelspace. Although *Ampuero*  
93 *and Dahlen* [2005] show that the elastic constants on a material discontinuity are am-

biguous, we found that we could achieve the best duplication of results of *Cochard and*  
*Rice* [2000] using the harmonic mean for the elastic  $c_{av} = 2c_1c_2/(c_1 + c_2)$  constants and  
the arithmetic mean for the density  $\rho_{av} = (\rho_1 + \rho_2)/2$ , as has been suggested elsewhere  
[*Moczo et al.*, 2002; *Wu and Chen*, 2003], for points on the material boundary where the  
elastic properties are not defined in the staggered scheme.

### 3.2. Nucleation

We nucleate each event in a circular symmetric way by increasing the fluid pressure in  
a limited space-time region. We thus prevent a propagation direction that is privileged  
by the nucleation procedure. Our procedure is similar to the one used by *Brietzke and*  
*Ben-Zion* [2006]. In 3D it is a spatially ring-shaped nucleation pulse with the spatial  
width  $a$ , expanding at the nucleation velocity  $v_{nuc}$  until the maximum radius  $b$ , which can  
be expressed using the geometric variables  $\xi = (|r| - v_{nuc}t)/a$ , and  $\eta = (|r| + v_{nuc}t)/b -$   
 $(\sqrt{a^2 + b^2}/b)$ , with radius  $|r| = \sqrt{y^2 + z^2}$ . Within the source, the fluid pressure is given  
as  $P_f = P_0(1 - \xi^2 - \eta^2)^2$ , while outside this region it is zero.

### 3.3. Model Parameters

To make our study comparable to other numerical studies [*Cochard and Rice*, 2000;  
*Ben-Zion and Huang*, 2002; *Ben-Zion and Shi*, 2005] we use parameters that are similar  
to the ones used in those studies. The range of the investigated parameters is summarized  
in Table 1.

## 4. Results

111 Snapshots of slip velocity on the fault at three instances in time are shown in Figure 2  
112 for  $\Delta x = 0.25$  m. The nucleation initiates rupture phases in the subshear as well as the  
113 supershear range. The supershear part of the rupture dies out for the shown parameter  
114 set in all directions. In the in-plane direction and some time-dependent angle the subshear  
115 rupture phase develops towards a self-amplifying wrinkle-like pulse in the positive direction  
116 (direction of slip in the softer material), and it dies out in the opposite (negative) direction.  
117 This self-amplifying wrinkle-like pulse travels at about the generalized Rayleigh velocity  
118 within a limited region of slip that has the shape of a fraction of a circle. Therefore  
119 the propagation velocity of this pulse is constant in all directions where it exists. In  
120 the anti-plane direction the pulse symmetrically dies out for all propagation velocities.  
121 Although this might look trivial knowing the corresponding 2D cases, where we have  
122 the development of a unilateral rupture-pulse in the positive direction of the in-plane  
123 case, and dying rupture pulses in the anti-plane case, we want to emphasize that this  
124 result cannot be deduced trivially from the separate 2D cases. The snapshots show how  
125 a self-amplifying, purely material-contrast driven wrinkle-like rupture pulse can appear  
126 also in the 3D case. We found that the allowance of rake rotation in the 3D case does not  
127 change the results qualitatively (in a few simulations, not shown here, we found that the  
128 self-amplification is stronger for the cases for which we prohibited rake-rotation).

129 In order to investigate how the pulse evolves after some larger propagation distance  
130 we performed a 3D simulation with a coarse numerical grid ( $\Delta x = 0.5$  m) allowing us  
131 to enlarge the propagation distance to  $x_{pd} = 1$  km. The resulting slip-distribution after

132 475 ms is shown in figure 3. The buldge of slip on the rightmost part shows a very  
133 small disturbance ( $< 4\%$  of the total slip) propagating ahead of the main pulse at the  
134 same velocity, and which originates at a propagation distances of about 400 m. The  
135 non-circular rupture front in figure 3 also indicates a transition at the edge, the rupture  
136 propagation velocity being slightly smaller there.

137 Our results show that for adequate parameters a wrinkle-mode of rupture that is purely  
138 driven by the decrease in normal stress during slip and its positive feedback (amplification)  
139 can exist also in the 3D case. However, in 3D it appears that the wrinkle-like rupture  
140 pulse degenerates possibly faster than in 2D towards a potentially unrealistic state with  
141 a huge slip-acceleration and very small width of the pulse (sharpening).

## 5. Discussion

142 The problem of rupture on a bimaterial interface is numerically extremely challenging  
143 because of the highly unstable physical mechanism associated with it (compared to, e.g.,  
144 the classical slip-weakening instability). Using finite-difference calculations we show that  
145 a self-sustained pulse can exist under the simplified Prakash-Clifton law also in 3D. Such  
146 a pulse travels inside a cone with a time-dependent angle on the fault plane around the  
147 positive in-plane direction.

148 How robust are the results of this study? Based on our experience in 2D and the very  
149 limited experience in 3D, we found that there exists ranges of parameters, e.g., regarding  
150 the nucleation procedure or a too low level of initial stress, for which self-sustained pulses  
151 have not been observed. Nevertheless we are confident that self-sustained propagation



152 occurs in at least some significant neighborhood of the present parameters. However, a  
153 full parameter space study remains to be done, even in 2D.

154 Based on very few numerical simulations of rupture propagation along a bimaterial  
155 interface governed by slip-weakening friction *Andrews and Harris* [2005] state that this  
156 phenomenon of the wrinkle-like pulse is not important for realistic earthquake rupture.  
157 In contrast, it has been under debate recently what is a realistic and physically consistent  
158 earthquake model. Additional physical knowledge has to be developed [*Rice and Cocco*,  
159 2006] to narrow the involved uncertainties and to evaluate physically more consistent,  
160 more realistic models of earthquake rupture; off-fault energy dissipation due to plastic  
161 strain, visco-elasticity, melt lubrication, thermal pressurization are just examples of what  
162 could be taken into account.

163 Our study has the clearly defined conceptual limit of a purely material driven effect.  
164 The results of recent studies on bimaterial interfaces bring in complementary insights (e.g.,  
165 *Rudnicki and Rice* [2006]; *Shi and Ben-Zion* [2006]; *Brietzke and Ben-Zion* [2006]; *Rubin*  
166 *and Ampuero* [2006]). There are indeed good examples for which the bimaterial mech-  
167 anism seems to be necessary to properly interpret the observations: *Rubin and Gillard*  
168 [2000] observed asymmetric alongstrike distribution of aftershocks on the San Andreas  
169 fault and *Dor et al.* [2006b] observed asymmetric rock damage across faults of the San  
170 Andreas system.

171 In order to address the question of whether or not the wrinkle-like slip pulse (or, more  
172 generally, the bimaterial mechanism) is relevant in existing earthquake rupture mecha-  
173 nisms, a much wider range of models and parameter combinations would need to be tested,

174 owing to the aforementioned uncertainties in our knowledge of source physics. The strong  
175 self-sharpening behavior of the wrinkle-like rupture pulse suggests that, with increasing  
176 propagation distance, it degenerates towards unrealistically large slip velocities (as already  
177 noted by *Ben-Zion and Huang* [2002] in 2D), and perhaps vanishes as a consequence of  
178 pulse thinning, this latter aspect obviously deserving a deeper investigation.

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**Table 1.** Range of simulation parameters

parameter	value or value range
numerical method	finite-differences
grid type	staggered cartesian grid
grid-spacing $\Delta x$	0.5 m, 0.25 m, 0.125 m
maximum grid size $n_x \times n_y \times n_z$	$500 \times 3200 \times 3200$
maximum size of the physical model $x \times y \times z$	$230 \times 1580 \times 1580 \text{ m}^3$
density material 1 $\rho_1$	$3333.3 \text{ kg/m}^3$
wave velocities material 1 $v_{s1}, v_{p1}$	3000.0, 5196.2 m/s
density material 2 $\rho_2$	$2777.7 \text{ kg/m}^3$
wave velocities material 2 $v_{s2}, v_{p2}$	2500.0, 4330.1 m/s
size of the fault model $y \times z$	$1580 \times 1580 = 2496400 \text{ m}^2$
type of friction	simplified Prakash-Clifton
friction coefficient $f$	0.75
characteristic slip velocity $V^*$	1 m/s
characteristic length $L$	4 mm
initial shear stress $\tau^\infty$	70 MPa
initial normal stress $\sigma^\infty$	100 MPa
size of nucleation zone $\mathcal{O}_{\text{nuc}} = 2b$	120 m
nucleation velocity $v_{\text{nuc}}$	2475 m/s



**Figure 1.** Slip velocity, shear-stress, friction, and normal stress at three stations at 100 m, 150 m and 200 m propagation distance along the in-plane direction for the parameter case shown in table 1. For the shown propagation distances, a resolution of  $\Delta x = 0.25$  m provides already good convergence, since the results of the next refinement with  $\Delta x = 0.125$  m basically shows the same solution.

**Figure 2.** Snapshots of the slip velocity on the fault-plane at three instances in time for the same parameters as in figure 1. The nucleation takes place inside the pink circle ( $\varnothing_{\text{nuc}} = 2b = 120$  m). The left panel shows slip velocity shortly after the nucleation. Two supershear half-moon shaped rupture phases, and a subshear rupture phase traveling at about the generalized Rayleigh velocity in all directions (see left panel) are initiated. The subshear rupture phase develops towards a self-amplifying wrinkle-like pulse within a cone around the positive in-plane direction, it dies out in the negative in-plane as well as in the anti-plane directions (see middle/right panel).

**Figure 3.** Distribution of slip after 475 ms simulation time. After passing the nucleation region at  $r_{\text{pd}} < 60$  m the pulse travels through a transitional area of relatively stable pulse propagation (compare with figure 2) until it becomes clearly self-sustained.

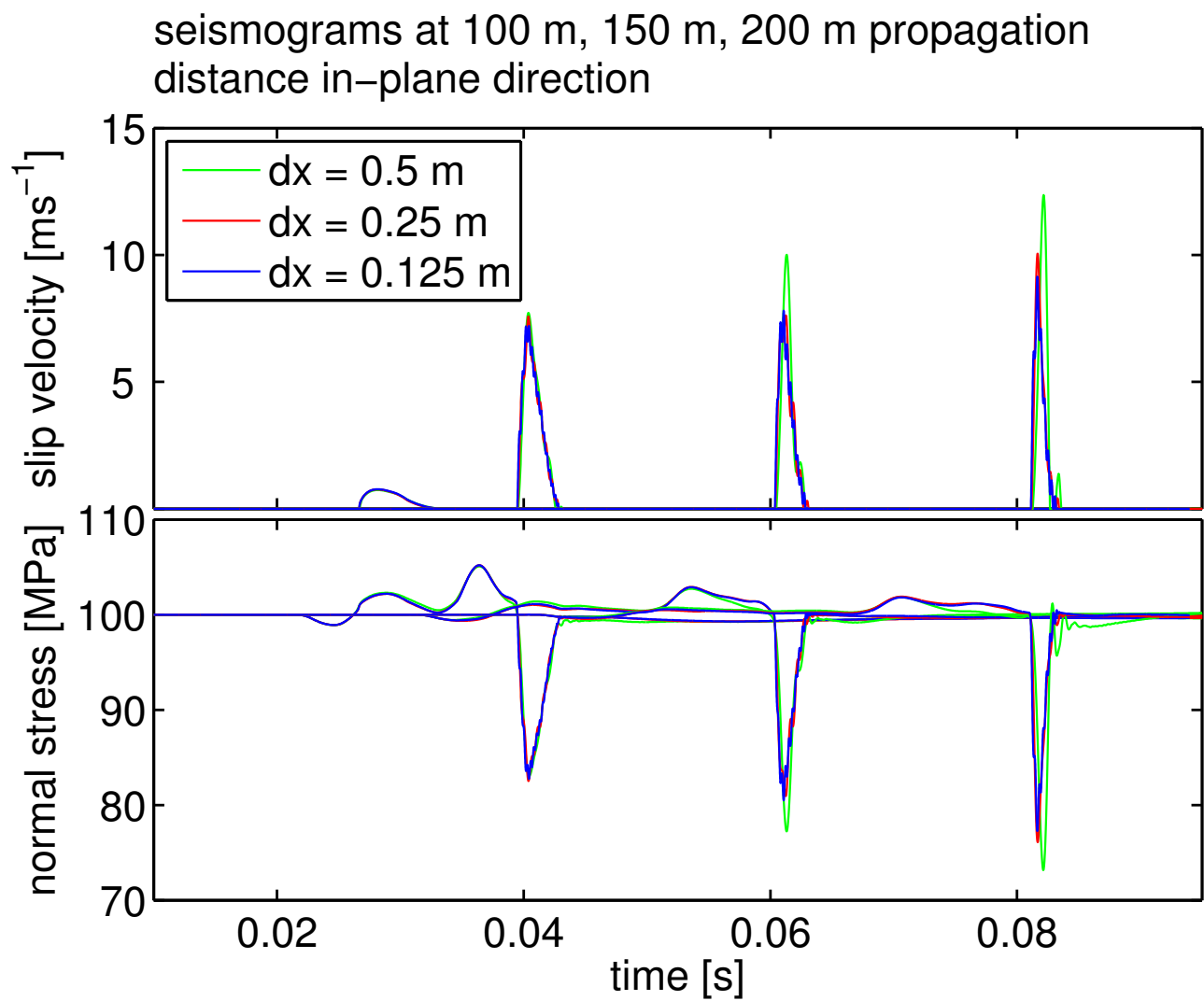


Figure 1.

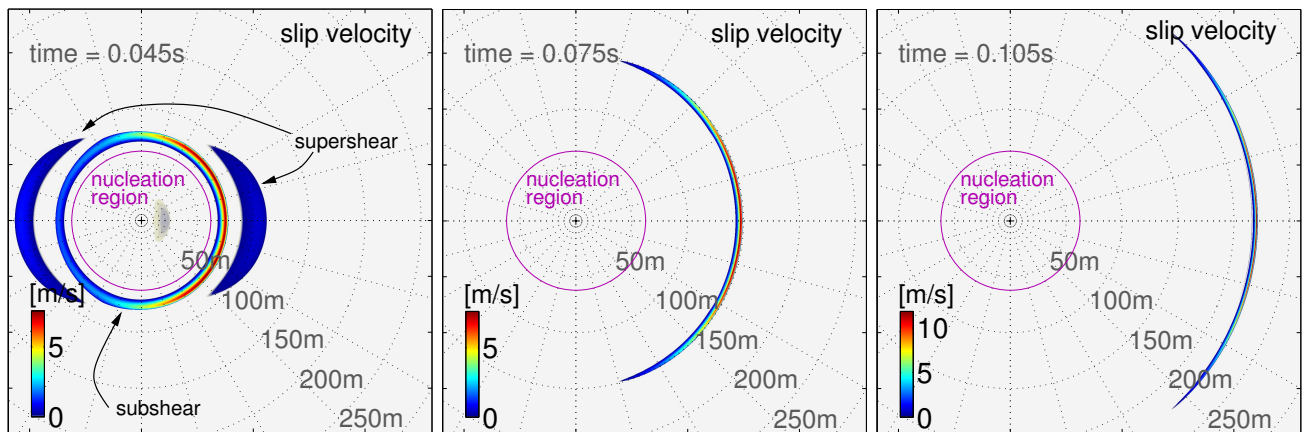


Figure 2.

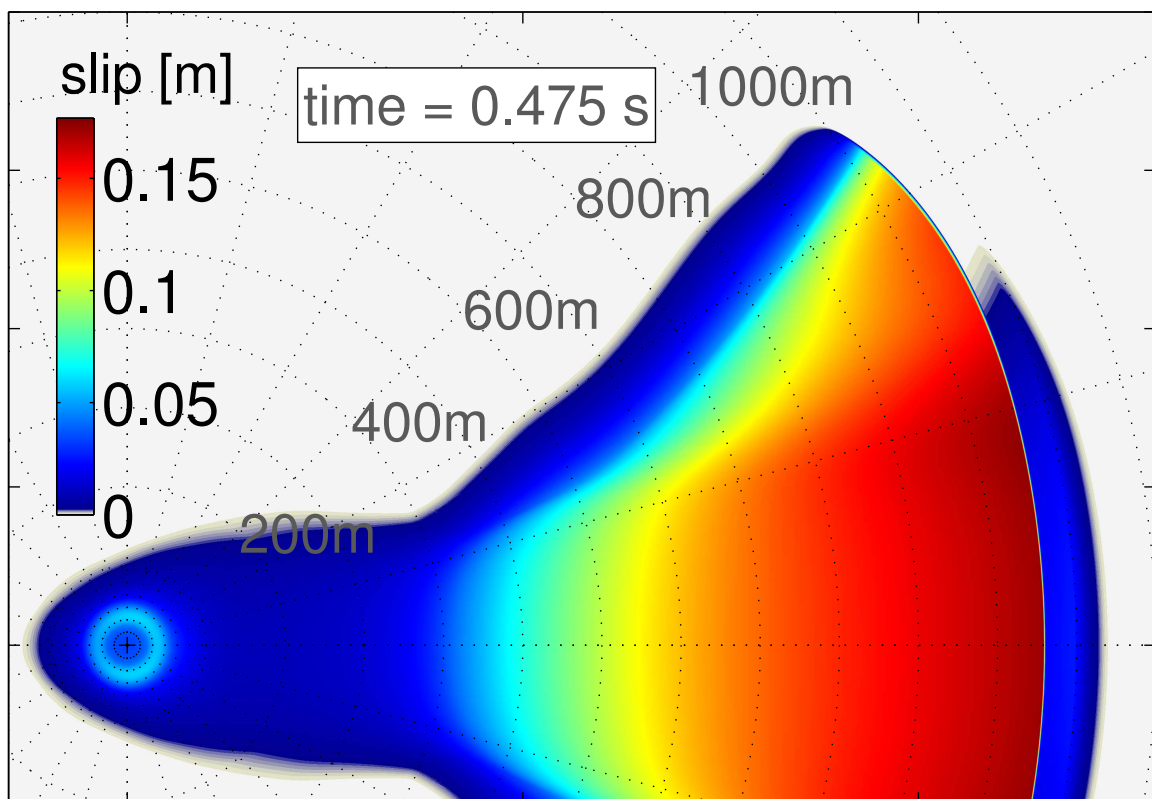


Figure 3.